
Classical differential geometry of two-dimensional surfaces

1 Basic definitions

This section gives an overview of the basic notions of differential geometry for two-dimensional surfaces. It follows mainly Kreyszig [Kre91] in its discussion.

Definition of a surface

Let us consider the vector function $\mathbf{X}(\xi^1, \xi^2) \in \mathbb{R}^3$ with

$$\mathbf{X} : \mathbb{R}^2 \supset \Xi \ni (\xi^1, \xi^2) \mapsto \mathbf{X}(\xi^1, \xi^2) \in U \subset \mathbb{R}^3, \quad (1)$$

where Ξ is an open subset of \mathbb{R}^2 . Let $\mathbf{X}(\xi^1, \xi^2)$ be of class $r \geq 1$ in Ξ , which means that one of its component functions X_i ($i \in \{x, y, z\}$) is of class r and the other ones are at least of this class.¹ Let furthermore the Jacobian matrix $\frac{\partial(X_x, X_y, X_z)}{\partial(\xi^1, \xi^2)}$ be of rank 2 in Ξ which implies that the vectors

$$\mathbf{e}_a := \frac{\partial \mathbf{X}}{\partial \xi^a} = \partial_a \mathbf{X}, \quad a \in \{1, 2\}, \quad (2)$$

are linearly independent. The mapping (1) then defines a smooth two-dimensional surface patch U embedded in three-dimensional Euclidean space \mathbb{R}^3 with coordinates ξ^1 and ξ^2 (see Fig. 1). A union Σ of surface patches is called a surface if two arbitrary patches U and U' of Σ can be joined by finitely many patches $U = U_1, U_2, \dots, U_{n-1}, U_n = U'$ in such a way that the intersection of two subsequent patches is again a surface patch [Kre91, p. 76]. To simplify the following let us restrict ourselves to a surface that can be covered by one patch U only.

The vectors \mathbf{e}_a , defined in Eqn. (2), are the tangent vectors of the surface. They are not normalized in general. Together with the unit normal

$$\mathbf{n} := \frac{\mathbf{e}_1 \times \mathbf{e}_2}{|\mathbf{e}_1 \times \mathbf{e}_2|}, \quad (3)$$

they form a local basis (*local frame*) in \mathbb{R}^3 (see Fig. 2):

$$\mathbf{e}_a \cdot \mathbf{n} = 0, \quad \text{and} \quad \mathbf{n} \cdot \mathbf{n} = 1. \quad (4)$$

¹ A function of one or several variables is called a *function of class r* if it possesses continuous partial derivatives up to order r .

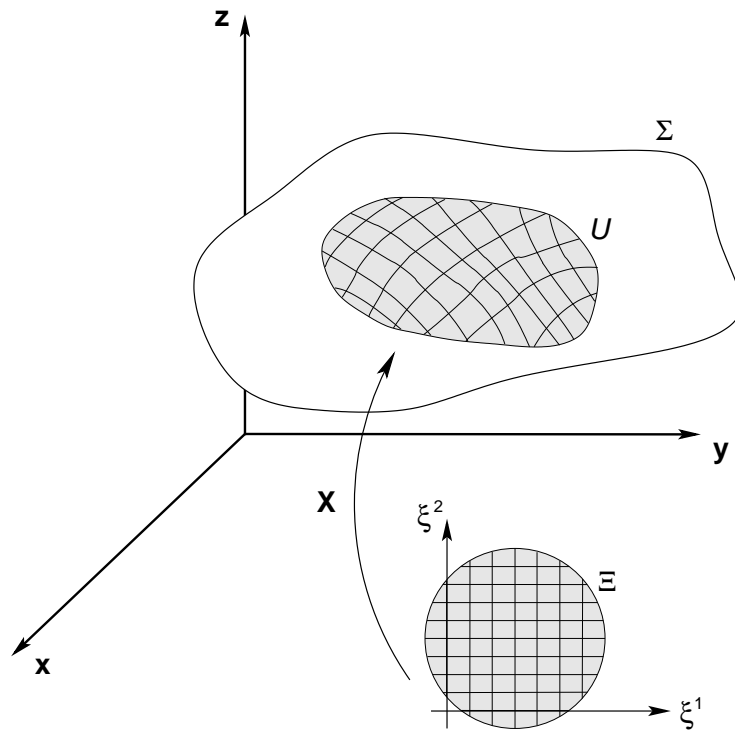


Figure 1: Parametrization of a surface

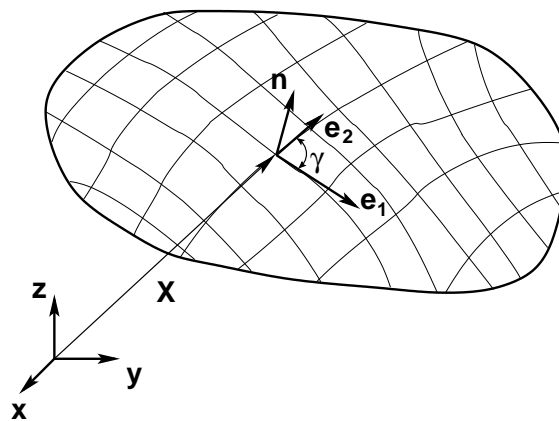


Figure 2: Local frame on the surface

The metric tensor (first fundamental form)

With the tangent vectors \mathbf{e}_a , one can define the *metric tensor* (also called the *first fundamental form*)

$$g_{ab} := \mathbf{e}_a \cdot \mathbf{e}_b . \quad (5)$$

This covariant second rank tensor is symmetric ($g_{ab} = g_{ba}$) and positive definite [Kre91, p. 86]. It helps to determine the infinitesimal Euclidean distance in terms of the coordinate differentials [Kre91, p. 82]

$$\begin{aligned} ds^2 &= [\mathbf{X}(\xi^1 + d\xi^1, \xi^2 + d\xi^2) - \mathbf{X}(\xi^1, \xi^2)]^2 = (\mathbf{e}_1 d\xi^1 + \mathbf{e}_2 d\xi^2)^2 \\ &= (\mathbf{e}_a d\xi^a)^2 = (\mathbf{e}_a \cdot \mathbf{e}_b) d\xi^a d\xi^b \\ &= g_{ab} d\xi^a d\xi^b , \end{aligned} \quad (6)$$

where the sum convention is used in the last two lines (see App. ??). The contravariant dual tensor of the metric may be defined via

$$g_{ac} g^{cb} := \delta_a^b := \begin{cases} 1, & \text{if } a = b \\ 0, & \text{if } a \neq b \end{cases} , \quad (7)$$

where δ_a^b is the Kronecker symbol. The metric and its inverse can be used to raise and lower indices in tensor equations. Consider for instance the second rank tensor t_{ab} :

$$\text{Raising: } t_{ac} g^{cb} = t_a^b , \quad \text{and lowering: } t_a^c g_{cb} = t_{ab} . \quad (8)$$

The determinant of the metric²

$$g := \det \mathbf{g} = |g_{ab}| = g_{11}g_{22} - g_{12}g_{21} \quad (9)$$

can be exploited to calculate the infinitesimal area element dA : let γ be the angle between \mathbf{e}_1 and \mathbf{e}_2 (see Fig. 2). Then

$$\begin{aligned} |\mathbf{e}_1 \times \mathbf{e}_2|^2 &= |\mathbf{e}_1|^2 |\mathbf{e}_2|^2 \sin^2 \gamma = g_{11}g_{22}(1 - \cos^2 \gamma) = g_{11}g_{22} - (\mathbf{e}_1 \cdot \mathbf{e}_2)^2 \\ &= g_{11}g_{22} - g_{12}g_{12} = g , \end{aligned} \quad (10)$$

and thus

$$dA = |\mathbf{e}_1 \times \mathbf{e}_2| d\xi^1 d\xi^2 = \sqrt{g} d^2\xi . \quad (11)$$

The covariant derivative

The partial derivative ∂_a is itself not a tensor. One therefore defines the covariant derivative ∇_a on a tensor $t_{b_1 b_2 \dots b_m}^{a_1 a_2 \dots a_n}$

$$\begin{aligned} \nabla_c t_{b_1 b_2 \dots b_m}^{a_1 a_2 \dots a_n} &= \partial_c t_{b_1 b_2 \dots b_m}^{a_1 a_2 \dots a_n} \\ &\quad + t_{b_1 b_2 \dots b_m}^{a_1 a_2 \dots a_n} \Gamma_{dc}^{a_1} + t_{b_1 b_2 \dots b_m}^{a_1 d \dots a_n} \Gamma_{dc}^{a_2} + \dots + t_{b_1 b_2 \dots b_m}^{a_1 a_2 \dots d} \Gamma_{dc}^{a_n} \\ &\quad - t_{db_2 \dots b_m}^{a_1 a_2 \dots a_n} \Gamma_{b_1 c}^d - t_{b_1 d \dots b_m}^{a_1 a_2 \dots a_n} \Gamma_{b_2 c}^d - \dots - t_{b_1 b_2 \dots d}^{a_1 a_2 \dots a_n} \Gamma_{b_m c}^d , \end{aligned} \quad (12)$$

² Note that \mathbf{g} is the matrix consisting of the metric tensor components g_{ab} .

where the Γ_{ab}^c are the *Christoffel symbols of the second kind* with

$$\Gamma_{ab}^c = (\partial_a \mathbf{e}_b) \cdot \mathbf{e}^c, \quad (13)$$

and ∇_a is now a tensor. For the covariant differentiation of sums and products of tensors the usual rules of differential calculus hold. The metric-compatible Laplacian Δ can be defined as $\Delta := \nabla_a \nabla^a$.

Note in particular that

$$\nabla_a \mathbf{e}_b = \partial_a \mathbf{e}_b - \Gamma_{ab}^c \mathbf{e}_c, \quad \text{and} \quad (14)$$

$$\nabla_a g_{bc} = \nabla_a g^{bc} = \nabla_a g = 0. \quad (15)$$

Equation (15) is also called the *Lemma of Ricci*. It implies that raising and lowering of indices commutes with the process of covariant differentiation.

Orientable surfaces

The orientation of the normal vector \mathbf{n} in one point S of the surface depends on the choice of the coordinate system [Kre91, p. 108]: exchanging, for instance, ξ^1 and ξ^2 also flips \mathbf{n} by 180 degrees. A surface is called *orientable* if no closed curve \mathcal{C} through any point S of the surface exists which causes the sense of \mathbf{n} to change when displacing \mathbf{n} continuously from S along \mathcal{C} back to S . An example of a surface that is not orientable is the *Möbius strip*.

The extrinsic curvature tensor (second fundamental form)

Two surfaces may have the same metric tensor g_{ab} but different curvature properties in \mathbb{R}^3 . In order to describe such properties let us consider a surface Σ of class³ $r \geq 2$ and a curve \mathcal{C} of the same class on Σ with the parametrization $\mathbf{X}(\xi^1(s), \xi^2(s))$ on Σ , where s is the arc length of the curve (see Fig. 3).

At every point of the curve where its curvature $k > 0$, one may define a moving trihedron $\{\mathbf{t}, \mathbf{p}, \mathbf{b}\}$ where $\mathbf{t} = \dot{\mathbf{X}}$ is the unit tangent vector, $\mathbf{p} = \dot{\mathbf{t}}/|\dot{\mathbf{t}}| = \dot{\mathbf{t}}/k$ is the unit principal normal vector, and $\mathbf{b} = \mathbf{t} \times \mathbf{p}$ is the unit binormal vector of the curve.⁴ Furthermore, let η be the angle between the unit normal vector \mathbf{n} of the surface and the unit principal normal vector \mathbf{p} of the curve with $\cos \eta = \mathbf{p} \cdot \mathbf{n}$ (see again Fig. 3). The curvature k of the curve can then be decomposed into a part which is due to the fact that the *surface* is curved in \mathbb{R}^3 and a part due to the fact that the *curve* itself is curved. The former will be called the normal curvature K_n , the latter the geodesic curvature K_g . One defines:

$$K_n := -\dot{\mathbf{t}} \cdot \mathbf{n} = -k (\mathbf{p} \cdot \mathbf{n}) = -k \cos \eta, \quad \text{and} \quad (16)$$

$$K_g := \mathbf{t} \cdot (\dot{\mathbf{t}} \times \mathbf{n}) = k \mathbf{t} \cdot (\mathbf{p} \times \mathbf{n}) = k \sin \eta \operatorname{sign}(\mathbf{n} \cdot \mathbf{b}). \quad (17)$$

³ This means that its parametrization $\mathbf{X}(\xi^1, \xi^2)$ is of class $r \geq 2$.

⁴ The dot denotes the derivative with respect to the arc length s .

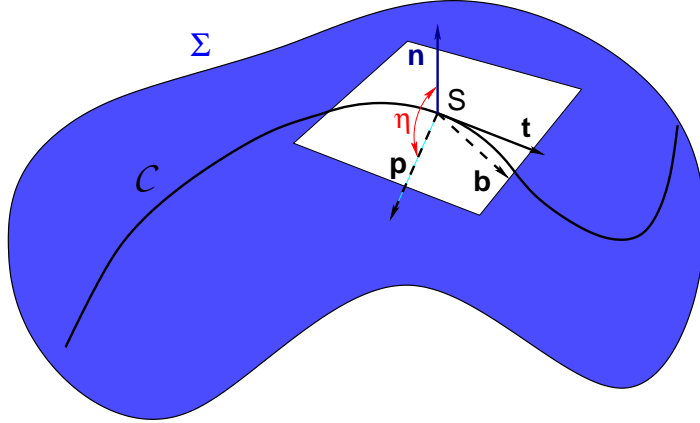


Figure 3: Curve on a surface

Here, we are interested in the curvature properties of the surface. Therefore, the normal curvature K_n is the relevant quantity that has to be studied a bit further.⁵ The vector $\dot{\mathbf{t}}$ may be written as

$$\dot{\mathbf{t}} = \ddot{\mathbf{X}} = \frac{d}{ds}(\mathbf{e}_a \dot{\xi}^a) = (\partial_b \mathbf{e}_a) \dot{\xi}^a \dot{\xi}^b + \mathbf{e}_a \ddot{\xi}^a . \quad (18)$$

Thus, Eqn. (16) turns into

$$K_n = -k \cos \eta = (-\mathbf{n} \cdot \partial_a \mathbf{e}_b) \dot{\xi}^a \dot{\xi}^b , \quad (19)$$

where it has been exploited that $\partial_a \mathbf{e}_b = \partial_b \mathbf{e}_a$. The expression in brackets is the *extrinsic curvature tensor* or *second fundamental form*

$$K_{ab} := -\mathbf{n} \cdot \partial_a \mathbf{e}_b = \mathbf{e}_a \cdot \partial_b \mathbf{n} . \quad (20)$$

It is a symmetric covariant second rank tensor such as the metric. The second relation in Eqn. (20) follows if one differentiates the first equation of (4) with respect to ξ^a .

The extrinsic curvature can be written covariantly:

$$K_{ab} := -\mathbf{n} \cdot \nabla_a \mathbf{e}_b . \quad (21)$$

This is possible because $\partial_a \mathbf{e}_b$ differs from $\nabla_a \mathbf{e}_b$ only by terms proportional to the tangent vectors \mathbf{e}_c , which vanish when multiplied by \mathbf{n} (see Eqn. (14)).

⁵ The minus sign in the definition of K_n , Eqn. (16), is unfortunately a matter of convention and is here chosen in accordance to the literature where the surface stress tensor for fluid membranes has been introduced [CG02, Guv04]. A sphere with outward pointing unit normal has a positive normal curvature then. Note that this differs from Ref. [Kre91].

One can easily see from Eqn. (20) that K_{ab} has got something to do with curvature: at every point of the surface it measures the change of the normal vector in \mathbb{R}^3 for an infinitesimal displacement in the direction of a coordinate curve.

To learn more about the normal curvature let us consider a reparametrization of the curve \mathcal{C} with the new parameter t . One gets

$$\dot{\xi}^a = \frac{d\xi^a}{dt} \frac{dt}{ds} = \frac{\xi^{a'}}{s'} , \quad (22)$$

where $'$ denotes the derivative with respect to t . Equation (19) thus takes the form

$$K_n = K_{ab} \dot{\xi}^a \dot{\xi}^b = \frac{K_{ab} \xi^{a'} \xi^{b'}}{(s')^2} \stackrel{(6)}{=} \frac{K_{ab} \xi^{a'} \xi^{b'}}{g_{ab} \xi^{a'} \xi^{b'}} = \frac{K_{ab} d\xi^a d\xi^b}{g_{ab} d\xi^a d\xi^b} . \quad (23)$$

For a fixed point S , K_{ab} and g_{ab} are fixed as well. The value of K_n then only depends on the direction of the tangent vector \mathbf{t} of the curve. One may search for extremal values of K_n at S by rewriting Eqn. (23):

$$(K_{ab} - K_n g_{ab}) \dot{\xi}^a \dot{\xi}^b = 0 . \quad (24)$$

A differentiation with respect to $\dot{\xi}^c$ yields the result

$$(K_{ac} - K_n g_{ac}) \dot{\xi}^a = 0 , \quad (25)$$

because $dK_n = 0$ is necessary for K_n to be extremal. Through the raising of one index, Eqn. (25) becomes an eigenvalue problem for K_a^b . Its eigenvectors are the tangent directions along which the normal curvature is extremal. They are called *principal directions* and are orthogonal to each other [Kre91, p. 129]. The eigenvalues will be called the *principal curvatures* k_1 and k_2 of the surface in point S . All other values of K_n in S in any direction can be calculated via Euler's theorem [Kre91, p. 132]. If the curve follows a principal direction at every point, it is also called a *line of curvature*.

For an arbitrary curve on the surface the symbol K_{\parallel} denotes the normal curvature belonging to the direction the curve is following, whereas K_{\perp} denotes the normal curvature belonging to the direction perpendicular to the curve in every point.

It is useful to define the following two notions: the *total curvature*

$$K := g^{ab} K_{ab} = K_a^a = k_1 + k_2 , \quad (26)$$

and the *Gaussian curvature*

$$K_G := |K_a^b| = k_1 k_2 . \quad (27)$$

The quantities $|K|$ and K_G are invariant under surface reparametrizations because they only involve the eigenvalues of the extrinsic curvature tensor. They occur, for instance, in the surface Hamiltonian of a fluid membrane. Note that one can rewrite K_G

$$K_G = |K_a^b| = |K_{ac} g^{cb}| = |K_{ac}| |g^{cb}| = \frac{K_{11} K_{22} - K_{12} K_{21}}{g} . \quad (28)$$

The equations of Gauss and Weingarten

With the help of the extrinsic curvature it is also possible to find relations for the partial derivatives of the local frame vectors: the normal vector \mathbf{n} is a unit vector (see Eqn. (4)) and therefore

$$\mathbf{n} \cdot \partial_a \mathbf{n} = 0 . \quad (29)$$

Thus, $\partial_a \mathbf{n}$ is a linear combination of the tangent vectors \mathbf{e}_a . We know that $\partial_a \mathbf{n} \cdot \mathbf{e}_a = K_{ab}$ (see Eqn. (20)), which yields the *Weingarten equations*

$$\partial_a \mathbf{n} = \nabla_a \mathbf{n} = K_a^b \mathbf{e}_b . \quad (30)$$

For the tangent vector \mathbf{e}_a a decomposition yields

$$\partial_a \mathbf{e}_b = (\mathbf{n} \cdot \partial_a \mathbf{e}_b) \mathbf{n} + (\mathbf{e}^c \cdot \partial_a \mathbf{e}_b) \mathbf{e}_c \stackrel{(20),(13)}{=} -K_{ab} \mathbf{n} + \Gamma_{ab}^c \mathbf{e}_c . \quad (31)$$

These are the *Gauss equations*, which can be rewritten covariantly:

$$\nabla_a \mathbf{e}_b \stackrel{(14)}{=} -K_{ab} \mathbf{n} . \quad (32)$$

Intrinsic curvature and integrability conditions

Do the partial differential Eqns. (30) and (32) have solutions for any chosen g_{ab} and K_{ab} ? The answer is no; certain integrability conditions have to be satisfied. We require the embedding functions \mathbf{X} to be of class $r \geq 3$ and

$$\partial_a \partial_b \mathbf{e}_c = \partial_b \partial_a \mathbf{e}_c . \quad (33)$$

From this follows [Kre91, p. 142 et seq.]

$$R^a{}_{bcd} = K_{bd} K_c^a - K_{bc} K_d^a , \quad \text{and} \quad (34)$$

$$\nabla_a K_{bc} = \nabla_b K_{ac} , \quad (35)$$

where

$$R^a{}_{bcd} := \partial_c \Gamma_{bd}^a - \partial_d \Gamma_{bc}^a + \Gamma_{bd}^e \Gamma_{ec}^a - \Gamma_{bc}^e \Gamma_{ed}^a , \quad (36)$$

is called the *mixed Riemann curvature tensor*. It is intrinsic because it does not depend on the normal vector \mathbf{n} . Expression (35) is also referred to as the *equation of Mainardi-Codazzi*.

The *Ricci tensor* is defined as the contraction of the Riemann tensor with respect to its first and third index:

$$R_{ab} := R^c{}_{acb} . \quad (37)$$

A further contraction of the Ricci tensor yields the intrinsic *scalar curvature* of the surface (Ricci scalar)

$$\mathcal{R} := g^{ab} R_{ab} . \quad (38)$$

From Eqn. (34) one then obtains

$$R_{ab} = K K_{ab} - K_{ac} K_b^c, \quad \text{and} \quad (39)$$

$$\mathcal{R} = K^2 - K^{ab} K_{ab}. \quad (40)$$

Combining Eqn. (28) with the completely covariant form of Eqn. (34), one gets after a few calculations:

$$R_{ab} = K_G g_{ab}, \quad \text{and} \quad (41)$$

$$\mathcal{R} = 2 K_G. \quad (42)$$

These equations confirm Gauss' Theorema Egregium, which states that the Gaussian curvature, even though originally defined in an extrinsic way, in fact only depends on the first fundamental form [Kre91, p. 145] and is thus an intrinsic surface property.

2 Gauss-Bonnet theorem

The Gauss-Bonnet theorem for simply connected surfaces

The Gauss-Bonnet theorem states the following [Kre91, p. 169]: Let Σ_0 be a simply connected surface patch of class $r_{\Sigma_0} \geq 3$ with simple closed boundary $\partial\Sigma_0$ of class $r_{\partial\Sigma_0} \geq 3$. Furthermore, let $\mathbf{X}(\xi^1(s), \xi^2(s))$ be the parametrization of the boundary curve, where s is the arc length. Then

$$\int_{\partial\Sigma_0} ds K_g + \int_{\Sigma_0} dA K_G = 2\pi, \quad (43)$$

where dA is the infinitesimal area element, K_g is the geodesic curvature of $\partial\Sigma_0$, and K_G is the Gaussian curvature of Σ_0 . Note that the integration along the boundary curve has to be carried out in such a sense that the right-hand rule is satisfied: take your right thumb and point it in the direction of the normal vector \mathbf{n} . If you then curl your fingers, the tips indicate the direction of integration.

One can check the consistency of Eqn. (43) easily by considering a flat circle with radius a : Its Gaussian curvature is zero and therefore also the integral over it. The geodesic curvature, however, is equal to $1/a$ in every point of the boundary. Thus, the integral over K_g yields $2\pi a \times 1/a$, which is equal to the right-hand side of Eqn. (43).

Generalization to multiply connected surfaces

A generalization of this theorem to multiply connected surfaces is also possible [Kre91, p. 172]: One can cut multiply connected surfaces into simply connected ones. Take, for instance, a surface as in Fig. 4. The path of integration along the

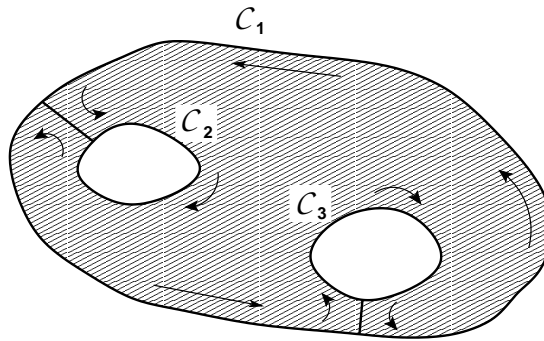


Figure 4: Integration contour for multiply connected surface patches

boundary may be chosen as depicted by the arrows. The sections are passed twice in opposite directions; their contributions therefore cancel each other. The end points of every section, however, add a term of π each to the integral $\int ds K_g$. This is due to the rotation the tangent makes at each of these points. Every section therefore contributes 2π to the integral. For the case of Fig. 4 we thus have an extra term of 4π .

Application to closed surfaces

It is also possible to apply the Gauss-Bonnet theorem to closed surfaces [Kre91, p. 172]. Topologically, any closed orientable surface is homeomorphic⁶ to a sphere with p attached “handles”. This number p is also called *genus* of the surface. Consequently, a sphere has genus 0, a torus genus 1, etc. One then obtains for any closed orientable surface Σ of genus p [Kre91, p. 172]:

$$\int_{\Sigma} dA K_G = 4\pi(1 - p) . \quad (44)$$

This implies that the integral over the Gaussian curvature is a topological invariant for any closed surface with fixed genus p .

3 Monge parametrization

For surfaces with no “overhangs”, it is sufficient to describe their position in terms of a height $h(x, y)$ above the underlying reference plane as a function of the orthonormal coordinates x and y . The direction of the basis vectors $\{\mathbf{x}, \mathbf{y}, \mathbf{z}\} \in \mathbb{R}^3$ is chosen as depicted in Fig. 5.

⁶ This means that the mapping and its inverse are continuous and bijective.

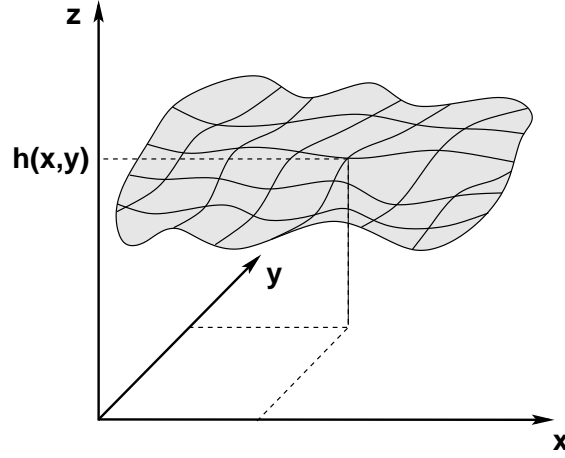


Figure 5: Monge parametrization

The tangent vectors on the surface can then be expressed as $\mathbf{e}_x = (1, 0, h_x)^T$ and $\mathbf{e}_y = (0, 1, h_y)^T$, where $h_i = \partial_i h$ ($i, j \in \{x, y\}$). The metric is equal to

$$g_{ij} = \delta_{ij} + h_i h_j, \quad (45)$$

where δ_{ij} is the Kronecker symbol. We also define $\nabla = (\partial_x, \partial_y)^T$. The metric determinant and the infinitesimal surface element can then be written as

$$g = |g_{ij}| = 1 + (\nabla h)^2 \quad \text{and} \quad (46)$$

$$dA = \sqrt{g} \, dx \, dy. \quad (47)$$

The inverse metric is given by

$$g^{ij} = \delta_{ij} - \frac{h_i h_j}{g}. \quad (48)$$

Note that Eqns. (45) and (48) are not tensor equations. The right-hand side gives merely numerical values for the components of the covariant tensors g_{ij} and g^{ij} . The unit normal vector is equal to

$$\mathbf{n} = \frac{1}{\sqrt{g}} \begin{pmatrix} -\nabla h \\ 1 \end{pmatrix}. \quad (49)$$

With the help of Eqn. (20) the extrinsic curvature tensor can be calculated:

$$K_{ij} = -\frac{h_{ij}}{\sqrt{g}}, \quad (50)$$

where $h_{ij} = \partial_i \partial_j h$. Note that Eqn. (50) again is not a tensor equation and gives merely numerical values for the components of K_{ij} .

Finally, it is also possible to write the total curvature K in Monge parametrization:

$$K = -\nabla \cdot \left(\frac{\nabla h}{\sqrt{g}} \right). \quad (51)$$

One is often interested in surfaces that deviate only weakly from a flat plane. In this situation the gradients h_i are small. Therefore, it is enough to consider only the lowest nontrivial order of a small gradient expansion. K and dA can then be written as

$$K = -\nabla^2 h + \mathcal{O}[(\nabla h)^2], \quad (52)$$

$$dA = \left\{ 1 + \frac{1}{2}(\nabla h)^2 + \mathcal{O}[(\nabla h)^4] \right\} dx dy. \quad (53)$$

References

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